Stream: Internet Engineering Task Force (IETF)

RFC: 9444

Category: Standards Track
Published: August 2023
ISSN: 2070-1721

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RFC 9444

Automated Certificate Management Environment (ACME) for Subdomains

Abstract

This document specifies how Automated Certificate Management Environment (ACME) can be used by a client to obtain a certificate for a subdomain identifier from a certification authority. Additionally, this document specifies how a client can fulfill a challenge against an ancestor domain but may not need to fulfill a challenge against the explicit subdomain if certification authority policy allows issuance of the subdomain certificate without explicit subdomain ownership proof.

Status of This Memo

This is an Internet Standards Track document.

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1. Introduction

ACME [RFC8555] defines a protocol that a certification authority (CA) and an applicant can use to automate the process of domain name ownership validation and X.509v3 (PKIX) [RFC5280] certificate issuance. The CA is the ACME server and the applicant is the ACME client, and the

client uses the ACME protocol to request certificate issuance from the server. This document outlines how ACME can be used to issue subdomain certificates without requiring the ACME client to explicitly fulfill an ownership challenge against the subdomain identifiers -- the ACME client need only fulfill an ownership challenge against an ancestor domain identifier.

2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

The following terms are defined in "DNS Terminology" [RFC8499] and are reproduced here:

Label:

An ordered list of zero or more octets that makes up a portion of a domain name. Using graph theory, a label identifies one node in a portion of the graph of all possible domain names.

Domain Name:

An ordered list of one or more labels.

Fully-Qualified Domain Name (FQDN):

This is often just a clear way of saying the same thing as "domain name of a node", as outlined above. However, the term is ambiguous. Strictly speaking, a fully-qualified domain name would include every label, including the zero-length label of the root: such a name would be written www.example.net. (note the terminating dot). But, because every name eventually shares the common root, names are often written relative to the root (such as www.example.net) and are still called "fully qualified". This term first appeared in [RFC0819]. In this document, names are often written relative to the root.

The following definition for "subdomain" is taken from "DNS Terminology" [RFC8499] and reproduced here; however, the definition is ambiguous and is further clarified below:

Subdomain:

"A domain is a subdomain of another domain if it is contained within that domain. This relationship can be tested by seeing if the subdomain's name ends with the containing domain's name." (Quoted from Section 3.1 of [RFC1034].) For example, in the host name nnn.mmm.example.com, both mmm.example.com and nnn.mmm.example.com are subdomains of example.com. Note that the comparisons here are done on whole labels; that is, ooo.example.com is not a subdomain of oo.example.com.

The definition is ambiguous as it appears to allow a subdomain to include the given domain. That is, mmm.example.com ends with mmm.example.com and thus is a subdomain of itself. This document interprets the first sentence of the above definition as meaning "a domain is a

subdomain of a different domain if it is contained within that different domain". A domain cannot be a subdomain of itself. For example, mmm.example.com is not a subdomain of mmm.example.com.

The following additional terms are used in this document:

Certification Authority (CA):

An organization that is responsible for the creation, issuance, revocation, and management of Certificates. The term applies equally to both root CAs and subordinate CAs. Refer to [RFC5280] for detailed information on Certification Authorities.

CSR:

Certificate Signing Request, as defined in [RFC2986].

Ancestor Domain:

A domain is an ancestor domain of a subdomain if it contains that subdomain and has fewer labels than that subdomain. A domain cannot be an ancestor domain of itself. For example, for the host name nnn.mmm.example.com, both mmm.example.com and example.com are ancestor domains of nnn.mmm.example.com. However, nnn.mmm.example.com is not an ancestor domain of nnn.mmm.example.com. Note that the comparisons here are done on whole labels; that is, oo.example.com is not an ancestor domain of ooo.example.com.

[RFC8555] defines the following object types that are used in this document:

Order Object: An ACME order object represents a client's request for a certificate and is used to track the progress of that order through to issuance.

Authorization Object: An ACME authorization object represents a server's authorization for an account to represent an identifier.

Challenge Object: An ACME challenge object represents a server's offer to validate a client's possession of an identifier in a specific way.

ACME [RFC8555], Section 6.3 introduces the following term which is used in this document:

POST-as-GET Request:

When a client wishes to fetch a resource from the server, then it MUST send a POST request with a signed JSON Web Signature (JWS) body, where the JWS body is specified in ACME [RFC8555], Section 6.2. ACME refers to these as "POST-as-GET" requests.

3. ACME Workflow and Identifier Requirements

A typical ACME workflow for issuance of certificates is as follows:

1. Client POSTs a newOrder request that contains a set of identifier objects in the identifiers field of the ACME order object.

- 2. Server replies with an order object that contains a set of links to authorization object(s) and a finalize URI.
- 3. Client sends POST-as-GET request(s) to retrieve the authorization object(s), with the downloaded authorization object(s) containing the identifier that the client must prove that they control, and a set of links to associated challenge objects, one of which the client must fulfill.
- 4. Client proves control over the identifier in the authorization object by completing one of the specified challenges, for example, by publishing a DNS TXT record.
- 5. Client POSTs a CSR to the finalize API.
- 6. Server replies with an updated order object that includes a certificate URI.
- 7. Client sends a POST-as-GET request to the certificate URI to download the certificate.

ACME places the following restrictions on identifiers:

- [RFC8555], Section 7.1.3: "The authorizations required are dictated by server policy; there may not be a 1:1 relationship between the order identifiers and the authorizations required."
- [RFC8555], Section 7.1.4: The only type of identifier defined by the ACME specification is an FQDN: "The only type of identifier defined by this specification is a fully qualified domain name (type: "dns"). The domain name MUST be encoded in the form in which it would appear in a certificate."
- [RFC8555], Section 7.4: The identifier in the CSR request must match the identifier in the newOrder request: "The CSR MUST indicate the exact same set of requested identifiers as the initial newOrder request."
- [RFC8555], Section 8.3: The identifier, or FQDN, in the authorization object must be used when fulfilling challenges via HTTP: "Construct a URL by populating the URL template ... where the domain field is set to the domain name being verified."
- [RFC8555], Section 8.4: The identifier, or FQDN, in the authorization object must be used when fulfilling challenges via DNS: "The client constructs the validation domain name by prepending the label "_acme-challenge" to the domain name being validated."

ACME does not mandate that the identifier in a newOrder request matches the identifier in authorization objects.

The ACME base document [RFC8555] only specifies the "dns" identifier type. Additional identifiers may be defined and registered in the IANA [ACME-Identifier-Types] registry. For example, [RFC8738] specifies the "ip" identifier type. This document is only relevant for the "dns" identifier type.

Note that ACME supports multiple different validation methods that can be used to fulfill challenges and prove ownership of identifiers. Validation methods are registered in the IANA [ACME-Validation-Methods] registry. This document does not mandate use of any particular validation method or methods. ACME server policy dictates which validation methods are supported. See Section 7.3 for more information on ACME server policy.

4. ACME Issuance of Subdomain Certificates

As noted in the previous section, ACME [RFC8555] does not mandate that the identifier in a newOrder request matches the identifier in authorization objects. This means that the ACME specification does not preclude an ACME server processing newOrder requests and issuing certificates for a subdomain without requiring a challenge to be fulfilled against that explicit subdomain.

ACME server policy could allow issuance of certificates for a subdomain to a client where the client only has to fulfill an authorization challenge for an ancestor domain of that subdomain. For example, this allows for a flow where a client proves ownership of example.org and then successfully obtains a certificate for sub.example.org.

ACME server policy is out of scope of this document; however, some commentary is provided in Section 7.3.

Clients need a mechanism to instruct the ACME server that they are requesting authorization for all subdomains subordinate to the specified domain, as opposed to just requesting authorization for an explicit domain identifier. Clients need a mechanism to do this in both newAuthz and newOrder requests. ACME servers need a mechanism to indicate to clients that authorization objects are valid for all subdomains under the specified domain. These are described in this section.

4.1. Authorization Object

ACME ([RFC8555], Section 7.1.4) defines the authorization object. This document defines a new subdomainAuthAllowed field for the authorization object. When ACME server policy allows authorization for subdomains subordinate to a domain, the server indicates this by including the new subdomainAuthAllowed field in the authorization object for that domain identifier:

subdomainAuthAllowed (optional, boolean): If present, this field MUST be true for authorizations where ACME server policy allows certificates to be issued for any subdomain subordinate to the domain specified in the identifier field of the authorization object.

The following example shows an authorization object for the domain example.org, where the authorization covers the subdomains subordinate to example.org.

```
{
  "status": "valid",
  "expires": "2023-09-01T14:09:07.99Z",

"identifier": {
    "type": "dns",
    "value": "example.org"
},

"challenges": [
    "url": "https://example.com/acme/chall/prV_B7yEyA4",
    "type": "http-01",
    "status": "valid",
    "token": "DGyRejmCefe7v4NfDGDKfA",
    "validated": "2014-12-01T12:05:58.16Z"
    }
],

"subdomainAuthAllowed": true
}
```

If the subdomainAuthAllowed field is not included, then the assumed default value is false.

If ACME server policy allows issuance of certificates containing wildcard identifiers under that authorization object, then the server **SHOULD** include the wildcard field with a value of true, as per [RFC8555], Section 7.1.4.

4.2. Pre-authorization

The basic ACME workflow has authorization objects created reactively in response to a certificate order. ACME also allows for pre-authorization, where clients obtain authorization for an identifier proactively, outside of the context of a specific issuance. With the ACME pre-authorization flow, a client can pre-authorize for a domain once and then issue multiple newOrder requests for certificates with identifiers in the subdomains subordinate to that domain.

ACME ([RFC8555], Section 7.4.1) defines the identifier object for newAuthz requests. This document defines a new subdomainAuthAllowed field for the identifier object:

subdomainAuthAllowed (optional, boolean): An ACME client sets this flag to indicate to the server that it is requesting an authorization for the subdomains subordinate to the specified domain identifier value.

Clients include the new subdomainAuthAllowed field in the identifier object of newAuthz requests to indicate that they are requesting a subdomain authorization. In the following example of a newAuthz payload, the client is requesting pre-authorization for the subdomains subordinate to example.org.

```
"payload": base64url({
    "identifier": {
        "type": "dns",
        "value": "example.org",
        "subdomainAuthAllowed": true
    }
})
```

If the server is willing to allow a single authorization for the subdomains and there is not an existing authorization object for the identifier, then it will create an authorization object and include the subdomainAuthAllowed flag with a value of true.

If the server policy does not allow creation of subdomain authorizations subordinate to that domain, the server can create an authorization object for the indicated identifier and MAY include the subdomainAuthAllowed flag with a value of false. If the server creates an authorization object and does not include the subdomainAuthAllowed flag, then the assumed value is false.

In both scenarios, handling of the pre-authorization follows the process documented in ACME [RFC8555], Section 7.4.1.

4.3. New Orders

Clients need a mechanism to optionally indicate to servers whether or not they are authorized to fulfill challenges against an ancestor domain for a given identifier. For example, if a client places an order for an identifier foo.bar.example.org and is authorized to fulfill a challenge against the ancestor domains bar.example.org or example.org, then the client needs a mechanism to indicate control over the ancestor domains to the ACME server.

In order to accomplish this, this document defines a new ancestorDomain field for the identifier that is included in order objects.

ancestorDomain (optional, string): This is an ancestor domain of the requested identifier. The client MUST be able to fulfill a challenge against the ancestor domain.

This field specifies an ancestor domain of the identifier that the client has DNS control over and is capable of fulfilling challenges against. Based on server policy, the server can choose to issue a challenge against any ancestor domain of the identifier up to and including the specified ancestorDomain and create a corresponding authorization object against the chosen identifier.

In the following example of a newOrder payload, the client requests a certificate for identifier foo.bar.example.org and indicates that it can fulfill a challenge against the ancestor domain bar.example.org. The server can then choose to issue a challenge against either foo.bar.example.org or bar.example.org identifiers.

In the following example of a newOrder payload, the client requests a certificate for identifier foo.bar.example.org and indicates that it can fulfill a challenge against the ancestor domain example.org. The server can then choose to issue a challenge against any one of foo.bar.example.org, bar.example.org, or example.org identifiers.

If the client is unable to fulfill authorizations against an ancestor domain, the client should not include the ancestorDomain field.

Server newOrder handling generally follows the process documented in ACME (Section 7.4 of [RFC8555]). If the server is willing to allow subdomain authorizations for the domain specified in ancestorDomain, then it creates an authorization object against that ancestor domain and includes the subdomainAuthAllowed flag with a value of true.

If the server policy does not allow creation of subdomain authorizations against that ancestor domain, then it can create an authorization object for the indicated identifier value and **SHOULD NOT** include the subdomainAuthAllowed flag. As the client requested a subdomain authorization for the ancestor domain and not for the indicated identifier, there is no need for the server to include the subdomainAuthAllowed flag in the authorization object for the indicated identifier.

4.4. Directory Object Metadata

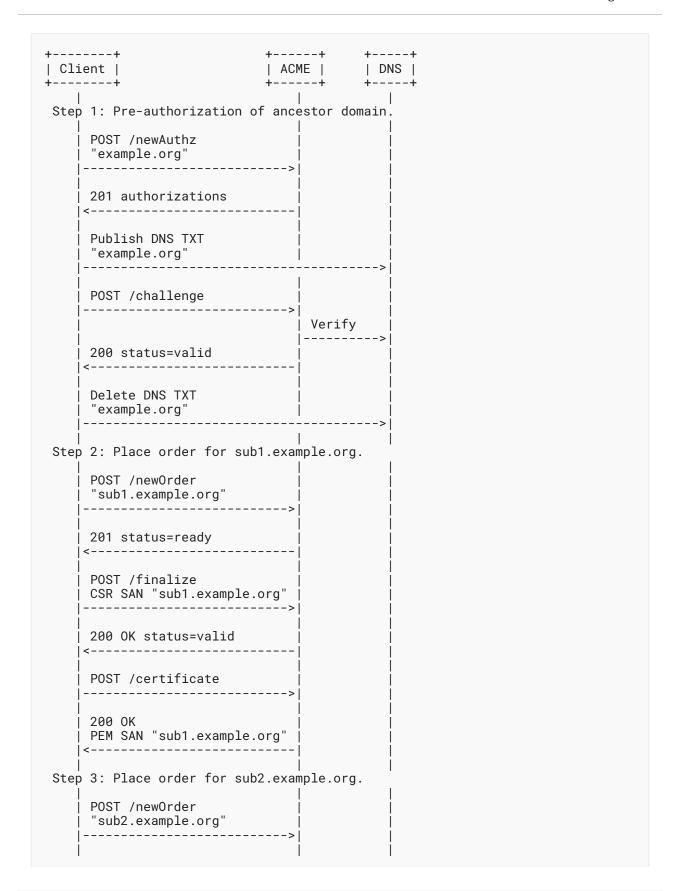
This document defines a new subdomainAuthAllowed ACME directory metadata field. An ACME server can advertise support for authorization of subdomains by including the subdomainAuthAllowed boolean flag in its "ACME Directory Metadata Fields" registry:

subdomainAuthAllowed (optional, bool): Indicates if an ACME server supports authorization of subdomains.

If not specified, then the assumed default value is false. If an ACME server supports authorization of subdomains, it can indicate this by including this field with a value of "true".

5. Illustrative Call Flow

The call flow illustrated here uses the ACME pre-authorization flow using DNS-based proof of ownership.



• Step 1: Pre-authorization of ancestor domain.

The client sends a newAuthz request for the ancestor domain and includes the subdomainAuthAllowed flag in the identifier object.

```
POST /acme/new-authz HTTP/1.1
Host: example.com
Content-Type: application/jose+json

{
    "protected": base64url({
        "alg": "ES256",
        "kid": "https://example.com/acme/acct/ev0fKhNU60wg",
        "nonce": "uQpSjlRb4vQVCjVYAyyUWg",
        "url": "https://example.com/acme/new-authz"
}),
    "payload": base64url({
        "identifier": {
            "type": "dns",
            "value": "example.org",
            "subdomainAuthAllowed": true
      }
}),
    "signature": "nuSDISbWG8mMgE7H...QyVUL68yzf3Zawps"
}
```

The server creates and returns an authorization object for the identifier that includes the subdomainAuthAllowed flag. The object is initially in "pending" state.

```
{
    "status": "pending",
    "expires": "2023-09-01T14:09:07.99Z",

"identifier": {
        "type": "dns",
        "value": "example.org"
},

"challenges": [
        {
            "url": "https://example.com/acme/chall/prV_B7yEyA4",
            "type": "dns-01",
            "status": "pending",
            "token": "DGyRejmCefe7v4NfDGDKfA",
            "validated": "2023-08-01T12:05:58.16Z"
        }
],

"subdomainAuthAllowed": true
}
```

The example illustrates the client completing a DNS challenge by publishing a DNS TXT record. The client then posts to the challenge resource to inform the server that it can validate the challenge.

Once the server validates the challenge by checking the DNS TXT record, the server will transition the authorization object and associated challenge object status to "valid".

The call flow above illustrates the ACME server replying to the client's challenge with status of "valid" after the ACME server has validated the DNS challenge. However, the validation flow may take some time. If this is the case, the ACME server may reply to the client's challenge immediately with a status of "processing" and the client will then need to poll the authorization resource to see when it is finalized. Refer to Section 7.5.1 of [RFC8555] for more details.

• Step 2: The client places a newOrder for sub1.example.org.

The client sends a newOrder request to the server and includes the subdomain identifier. Note that the identifier is a subdomain of the ancestor domain that has been pre-authorized in Step 1. The client does not need to include the subdomainAuthAllowed field in the identifier object, as it has already pre-authorized the ancestor domain.

As an authorization object already exists for the ancestor domain, the server replies with an order object with a status of "ready" that includes a link to the existing "valid" authorization object.

The client can proceed to finalize the order by posting a CSR to the finalize resource. The client can then download the certificate for sub1.example.org.

• Step 3: The client places a newOrder for sub2.example.org.

The client sends a newOrder request to the server and includes the subdomain identifier. Note that the identifier is a subdomain of the ancestor domain that has been pre-authorized in Step 1. The client does not need to include the subdomainAuthAllowed field in the identifier object, as it has already pre-authorized the ancestor domain.

As an authorization object already exists for the ancestor domain, the server replies with an order object with a status of "ready" that includes a link to the existing "valid" authorization object.

```
HTTP/1.1 201 Created
Replay-Nonce: MYAuvOpaoIiywTezizk5vw
Link: <https://example.com/acme/directory>;rel="index"
Location: https://example.com/acme/order/TolocE8rfgo

{
    "status": "ready",
    "expires": "2023-09-01T14:09:07.99Z",

    "notBefore": "2023-09-01T00:00:00Z",
    "notAfter": "2023-09-08T00:00:00Z",

    "identifiers": [
        { "type": "dns", "value": "sub2.example.org" }
],

    "authorizations": [
        "https://example.com/acme/authz/PAniVnsZcis"
],

    "finalize": "https://example.com/acme/order/ROni7rdde/finalize"
}
```

The client can proceed to finalize the order by posting a CSR to the finalize resource. The client can then download the certificate for sub2.example.org.

6. IANA Considerations

6.1. Authorization Object Fields Registry

The following field has been added to the "ACME Authorization Object Fields" registry defined in ACME [RFC8555].

Field Name	Field Type	Configurable	Reference
subdomainAuthAllowed	boolean	false	RFC 9444

Table 1

6.2. Directory Object Metadata Fields Registry

The following field has been added to the "ACME Directory Metadata Fields" registry defined in [RFC8555].

Field Name	Field Type	Reference
subdomainAuthAllowed	boolean	RFC 9444

Table 2

7. Security Considerations

This document specifies enhancements to ACME [RFC8555] that optimize the protocol flows for issuance of certificates for subdomains. The underlying goal of ACME for Subdomains remains the same as that of ACME: managing certificates that attest to identifier/key bindings for these subdomains. Thus, ACME for Subdomains has the same two security goals as ACME:

- (1) Only an entity that controls an identifier can get an authorization for that identifier.
- (2) Once authorized, an account key's authorizations cannot be improperly used by another account.

ACME for Subdomains makes no changes to:

- account or account key management
- ACME channel establishment, security mechanisms, or threat model
- validation channel establishment, security mechanisms, or threat model

Therefore, all Security Considerations in ACME in the following areas are equally applicable to ACME for Subdomains:

- Threat Model
- Integrity of Authorizations
- Denial-of-Service Considerations
- Server-Side Request Forgery
- CA Policy Considerations

The only exception is that in order to satisfy goal (1) above, this document assumes that control over a domain may imply control over a subdomain; therefore, authorization for certificate issuance for the former may imply authorization for certificate issuance for the latter. In many ecosystems, this is a safe assumption, especially because control over the domain can often be leveraged to successfully demonstrate control over subdomains anyway, for example, by temporarily modifying DNS for the subdomain to point to a server the ancestor domain owner controls, rendering the distinction moot. For example, the CA/Browser Forum Baseline Requirements may consider control of an ancestor domain sufficient for issuance of certificates for subdomains, but only if specific processes and procedures are used for validating ownership of the ancestor domain.

In ecosystems where control of an ancestor domain may not imply control over subdomains or authorization for issuance of certificates for subdomains, a more complicated threat analysis and server policy might be needed.

Some additional comments on ACME server policy are given later in this section.

7.1. Client Account Security

There may be scenarios were a client wishes to deactivate an authorization object for an ancestor domain or deactivate its account completely. For example, a client may want to do this if an account key is compromised or if an authorization object covering domains subordinate to an ancestor domain is no longer needed. The client can deactivate an authorization using the mechanism specified in [RFC8555], Section 7.5.2 and can deactivate an account using the mechanism specified in [RFC8555], Section 7.3.6.

7.2. Subdomain Determination

The [RFC8499] definition of a subdomain is reproduced in Section 2. When comparing domains to determine if one is a subdomain of the other, it is important to compare entire labels and not rely on a string prefix match. Relying on string prefix matches may yield incorrect results.

7.3. ACME Server Policy Considerations

The ACME for Subdomains and the ACME specifications do not mandate any specific ACME server or CA policies, or any specific use cases for issuance of certificates. For example, an ACME server could be used:

- to issue Web PKI certificates where the ACME server must comply with CA/Browser Forum Baseline Requirements [CAB].
- as a Private CA for issuance of certificates within an organization. The organization could enforce whatever policies they desire on the ACME server.
- for issuance of Internet of Things (IoT) device certificates. There are currently no IoT device certificate policies that are generally enforced across the industry. Organizations issuing IoT device certificates can enforce whatever policies they desire on the ACME server.

ACME server policy could specify whether:

- issuance of subdomain certificates is allowed based on proof of ownership of an ancestor domain.
- issuance of subdomain certificates is allowed, but only for a specific set of ancestor domains.
- DNS-based or HTTP-based proof of ownership, or both, are allowed.

The CA policy considerations listed in [RFC8555], Section 10.5 are equally applicable here. These include, but are not limited to:

- Is the claimed identifier syntactically valid?
- For domain names:
 - Is the name on the Public Suffix List?
 - Is the name a high-value name?
- Is the key in the CSR sufficiently strong?

Refer to [RFC8555], Section 10.5 for more CA policy considerations.

ACME server policy specification is explicitly out of scope of this document.

8. References

8.1. Normative References

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